



DEPOSITORY PARTICIPANT SERVICES

TARIFF SHEET (DEPOSITORY SERVICES w.e.f. 01.04.2020)

(Amount in Rs.)

Services	Individual & others	Staff and Senior citizen	Pool A/c	Corporate A/c	CM / Sub broker Beneficiary A/c	Stock Broker-Collateral (New category for NSDL Only for acceptance of Securities as collateral)			
Account opening	Nil	Nil	Nil	Nil	Nil	Nil			
Advance/Deposit	Clients not maintaining SB/ CA A/c Rs. 10,000/-								
Account Maintenance Charges (AMC)	Email ID Registered for E-CAS	Email ID not Registered for E-CAS	NSDL/CDSL	Email ID Registered for E-CAS	Email ID not Registered for E-CAS				
Clients Maintaining SB/ CA a/c with OBC	NSDL	300	350	Sr citizen 115	300	800	900	800	300
	CDSL	300	350		Staff - Nil	750	800	900	800
Clients Not Maintaining SB/ CA a/c with OBC	NSDL	600	700		600	1600	1800	1300	600
	CDSL	600	700		1250	1600	1800	1300	750
Speed-e Password Based (Incl AMC)	500		Sr Citizen - 500 Staff - Nil		500			500	
Speed-e Token Based (Incl AMC)	2000		Sr Citizen - 2000 Staff - Nil	2000	2000			2000 (Incl DSC Charges)	2000
Dematerialization (NSDL & CDSL)	Rs 2 per certificate with minimum of Rs 35/- plus postage as applicable		Rs 2 per certificate with minimum of Rs 35/- plus postage as applicable	NA	Rs 2 per certificate with minimum of Rs 35/- plus postage as applicable			Rs 2 per certificate with minimum of Rs 35/- plus postage as applicable	NA
Remat (NSDL & CDSL)	A fee of Rs. 10 for every hundred securities or part thereof or a flat fee of Rs 10 per certificate (Whichever is higher)		A fee of Rs. 10 for every hundred securities or part thereof or a flat fee of Rs 10 per certificate (Whichever is higher)	NA	A fee of Rs. 10 for every hundred securities or part thereof or a flat fee of Rs 10 per certificate (Whichever is higher)			A fee of Rs. 10 for every hundred securities or part thereof or a flat fee of Rs 10 per certificate (Whichever is higher)	NA
Transaction (Debit-Market, Off-Market & Interdepository) & redemption of Mutual fund units	0.03%. Minimum amount Rs. 25/-, Max Rs. 5000 *Online trading/Speede Rs. 10/-per txn where AMC is Annual		0.03%. Minimum amount Rs. 25/-, Max Rs. 5000 *Online trading/Speede Rs. 10/-per txn where AMC is Annual	NSDL: Rs. 10/- CDSL: *Off Market/ Inter Depository Sell- *Rs. 10/- Off Market/Interdepository CM Delivery: 0.01% of Value ; Minimum of Rs. 18/ *On Market Buy: 0.01% of Value ; Minimum Rs. 5 and Maximum Rs. 12.	0.03%. Minimum amount Rs. 25/- Max Rs. 5000 *Online trading/Speede Rs. 10/-per txn where AMC is Annual			Rs. 13/- per transaction.	NSDL Only: Rs. 10/-
Transaction (Commercial paper)	*Commercial Paper 0.033% of market value with minimum of Rs 30/- per instruction and Max. of Rs 300/- per instruction.		*Commercial Paper 0.033% of market value with minimum of Rs 30/- per instruction and Max. of Rs 300/- per instruction. For Staff :- Rs. 20/- per instruction	NA	*Commercial Paper 0.033% of market value with minimum of Rs 30/- per instruction and Max. of Rs 300/- per instruction.			*Commercial Paper 0.033% of market value with minimum of Rs 30/- per instruction and Max. of Rs 300/- per instruction.	NA
Pledge Creation (NSDL & CDSL)	0.02% of value with minimum Rs. 100		0.02% of value with minimum Rs. 100	NA	0.02% of value with minimum Rs. 100			0.02% of value with minimum Rs. 100	100 +* NSDLs actual charges, if any. Rs. 100 will be charged for cancellation of Pledge, as pledge cancellation charges.
Pledge Creation confirmation (NSDL & CDSL)	50% of pledge creation charges		50% of pledge creation charges	NA	50% of pledge creation charges			50% of pledge creation charges	50% of pledge creation charges

Pledge Closure (NSDL & CDSL)	Rs. 50/-	Rs. 50/-	NA	Rs. 50/-	Rs. 50/-	100 + * NSDLs actual charges, if any
Pledge Closure confirmation (NSDL & CDSL)	Rs. 50/-	Rs. 50/-	NA	Rs. 50/-	Rs. 50/-	100 + * NSDLs actual charges, if any
Pledge Invocation per ISIN (NSDL & CDSL)	Rs. 50/-	Rs. 50/-	NA	Rs. 50/-	Rs. 50/-	100 + * NSDLs actual charges, if any
Failed instruction charges (NSDL & CDSL)	Rs. 10/-	Rs. 10/-	Rs. 10/-	Rs. 10/-	Rs. 10/-	Rs. 10/-
Adhoc Account Statement	Rs. 50/-	Sr Citizen- 50/- Staff - Nil	Rs. 50/-	Rs. 50/-	Rs. 50/-	Rs. 50/-
Freezing/De Freezing Charges	Rs.25/-	Rs.25/-	Rs.25/-	Rs.25/-	Rs.25/-	Rs.25/-
Late Fee	Rs. 50 Per instruction	Rs. 50 Per instruction	Rs. 50 Per instruction	Rs. 50 Per instruction	Rs. 50 Per instruction	Rs. 50 Per instruction
DIS booklet Postage Charges	Rs. 75/- each DIS Booklet	Rs. 75/- each DIS Booklet	Rs. 75/- each DIS Booklet	Rs. 75/- each DIS Booklet	Rs. 75/- each DIS Booklet	Rs. 75/- each DIS Booklet

Notes:

- 1) AMC will be levied on pro-rata for the first year of opening the account. Annual AMC shall be levied up-front during the month of April.
- 2) Advance fees of ₹ 10,000/- to be deposited upfront which will be adjusted against the future dues. In case the balance falls below ₹ 2000/- it shall be the responsibility of Client to replenish the upfront amount so as to continue the service.
- 3) NSDL/CDSL Charges for Receipt-In/Pay-out and penalty charges levied by SEBI for securities lying in pool A/c beyond stipulated period or any other charges will be charged on actual basis.
- 4) All the reference prices will be based on NSE price (NSDL formula) BSE Price (CDSL formula) and all charges payable monthly.
- 5) Punjab National Bank reserves right to revise the rate structure from time to time by giving 30 days' notice.
- 6) AMC for Basic Services Demat Account (BSDA) applicable as per SEBI guidelines.
- 7) KRA Charges ₹ 100/- per PAN for modification.
- 8) Sub Broker/CM Beneficiary clients will be charged at the flat rate of ₹ 300/- per month to the account.
- 9) NSDL/CDSL fixed charges of ₹ 500/- per annum will be charged for each corporate account.
- 10) Charges for listed Demat services shall be raised at monthly intervals. Demat services not listed above will be charged separately as per Bank's policy in the line with NSDL & CDSL Depositories.
- 11) For Pool A/Cs -Intersetlement & CM Pool to pool per debit transaction- 0.01%+NSDL/CDSL charges (Per ISIN). Pool A/c will be charged freeze charge of ₹ 125/- . As per CDSL, additional charge of ₹ 500/- per month will be charged in pool accounts.
- 12) All the above mentioned charges are exclusive of GST. Additional GST will be applicable as per the prevailing rates.

**Signature
(First
Holder)**

**Signature
(Second
Holder)**

**Signature
(Third Holder)**